JC10 Rec'd PCT/PTO 2 Z APR 2005

ATTORNEY'S DOCKET NUMBER FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 450100-04799 (REV. 12-2004) U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DOCUMENT NOS. 2002-310204 and 2002-312946 PCT/JP03/13642 October 24, 2003 PRIORITY DATE CLAIMED October 24 and October 28, 2002 TITLE OF INVENTION OPTICAL UNIT AND IMAGE-PICKUP APPARATUS APPLICANT FOR DO/EO/US Tatsuo MAKII Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). A has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). A have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired.

have not been made and will not be made. A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. □ 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **EXPRESS MAIL** A preliminary amendment. 14. An Application Data Sheet under 37. CFR 1.76. EV196816921US Mailing Label Number: April 22, 2005 15. A substitute specification. Date of Deposit: I hereby certify that this paper or fee is being deposited with the United 16. A power of attorney and/or change of address letter. States Postal Service A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, 18. A second copy of the published international application Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: (Signature of person mailing paper or fee) PCT/ISA/210, 17 SHEETS OF DRAWINGS, CLAIM OF PRIORITY, REFERENCES FOR IDS, 1 PAGE ABSTRACT, PCT REQUEST

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U.S. APF 10/532329 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NO. PCT/JP03/13642 450100-04799 The following fees are submitted a) Basic national fee \$300.00 \$300.00 \boxtimes b) Examination fee \$200.00 \$200.00 \boxtimes \$500.00 c) Search fee \$500.00 TOTAL OF ABOVE CALCULATIONS \$1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or **Total Sheets** Extra Sheets fraction thereof (round up to a whole Rate number) 67 - 100 = 0/50 =\$0.00 x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) NUMBER NUMBER EXTRA **CLAIMS** RATE **FILED Total Claims** 12 - 20 =x \$50.00 \$0.00 Independent Claims 4 - 3 = x \$200.00 \$200.00 MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00 TOTAL OF ABOVE CALCULATIONS = \$1,200.00 Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$1,200.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$1,200.00 Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. \$40.00 TOTAL FEES ENCLOSED = \$1,240.00 Amount to be \$ refunded: Amount to be \$ charged: a. \(\text{Checks in the amount of \$1,200.00 and \$40.00} \) to cover the above fees are enclosed. b. Please charge my Deposit Account No. in the amount of \$____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. A The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0320. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: William S. Frommer, Esq. SIGNATURE Frommer Lawrence & Haug LLP 745 Fifth Avenue William S. Frommer New York, New York 10151 NAME 25,506 Dated: April 22, 2005 REGISTRATION NUMBER

1U/532329 <

JC13 Rec'd PCT/PTO 22 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tatsuo MAKII

International Application No.:

PCT/JP03/13642

International Filing Date:

October 24, 2003

For:

OPTICAL UNIT AND IMAGE-PICKUP APPARATUS

745 Fifth Avenue New York, NY 10151

EXPRESS MAIL

Mailing Label Number:

EV196816921US

Date of Deposit:

April 22, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

CLAIM OF PRIORITY UNDER 37 C.F.R. § 1.78(a)(2)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 35 U.S.C. 119, this application is entitled to a claim of priority to Japan Application Nos. 2002-310204 and 2002-312946 filed 24 October and 28 October 2002.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

William S. Frommer Reg. No. 25,506

Tel. (212) 588-0800

FLH Ref. No.: 450100-04799

New Patent Application filed April 22, 2005 entitled:

OPTICAL UNIT AND IMAGE-PICKUP APPARATUS

Corresponding to PCT Application No. PCT/JP03/13642

Filed October 24, 2003

"Express Mail" mailing label number	EV196816921US
Date of Deposit	April 22, 2005
I hereby certify that this paper or fee, and a patent application and accompanying papers, are	
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for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.	
Barnet Shindling	
(Typed or printed name of person mailing paper or fee)	
But Schlen	

(Signature of person mailing paper or fee)